## STATE OF CALIFORNIA

## BUSINESS, TRANSPORTATION AND HOUSING AGENCY DEPARTMENT OF CORPORATIONS

TO: Commerce Community Service Center Robert Gagne 5211 East Washington Blvd. Los Angeles, CA 90040

## **DESIST AND REFRAIN ORDER**

(For violation of section 25210 of the Corporations Code)

The California Corporations Commissioner finds that:

- 1. Commerce Community Service Center ("Commerce") is a company located at 5211 East Washington Blvd., Los Angeles, California 90040. Commerce has a website at <a href="www.commercecsc.com">www.commercecsc.com</a>. Commerce offers broker-dealer services including the purchase and sale of securities in this state. Commerce's website claims that it buys and sells securities in primary and secondary markets, and offers these services to both individual and corporate clients in this state.
- 2. At all relevant times herein, Robert Gagne ("Gagne") is or was an individual whose last known business address is 5211 East Washington Blvd., Los Angeles, California 90040. Robert Gagne is a representative of Commerce.
  - 3. Commerce has represented that the services it provides include:

Brokerage services include support in buying/selling of shares on behalf of the client and in all operations pertaining to secondary registration, accounting and storage of securities . . . The Investment Company takes the responsibility to act as our clients' fiduciary and to buy securities in the primary market, as well as to buy/sell them in the secondary market . . . This service is mainly tailored for institutional and corporate investors, as well as for individuals capable to make an independent investment solution.

4. A broker-dealer is any person engaged in the business of effecting transactions in securities in this state for the account of others or for his own account.

2	Gagne to act as a broker-dealer. Commerce and Gagne are not exempt from the certification
3	requirement under section 25210 of the California Corporations Code.
4	Based on the foregoing findings, the California Corporations Commissioner is of the opinion
5	that Commerce Community Service Center and Robert Gagne are subject to the laws regulating
6	broker-dealers under the California Corporate Securities Law of 1968, and have effected transactions
7	in, or induced or attempted to induce the purchase or sale of, securities as broker-dealers, without
8	having first applied for and secured from the Commissioner a certificate authorizing these persons to
9	act in that capacity, in violation of section 25210 of the Corporate Securities Law of 1968.
10	Pursuant to section 25532 of the Corporate Securities Law of 1968, Commerce Community
11	Service Center and Robert Gagne are hereby ordered to desist and refrain from effecting any
12	transaction in, or inducing or attempting to induce the purchase or sale of, any security, in this state,
13	unless and until certification has been made under said law or unless exempt.
14	This Order is necessary, in the public interest, for the protection of investors and consistent
15	with the purposes, policies, and provisions of the Corporate Securities Law of 1968.
16 17	Dated: May 17, 2007 Los Angeles, California
18	PRESTON DuFAUCHARD
19	California Corporations Commissioner
20	D <sub>17</sub>
21	ByALAN S. WEINGER
22	Lead Corporations Counsel Enforcement Division
23	
24	
25	
26	
27	
28	

5. The Department of Corporations has not issued a certificate authorizing Commerce or